

# A tool for rapid assessment of Risk of Bias (raRoB) in observational epidemiological studies

November 10, 2023

International Conference on Using epidemiological studies in health risk assessments

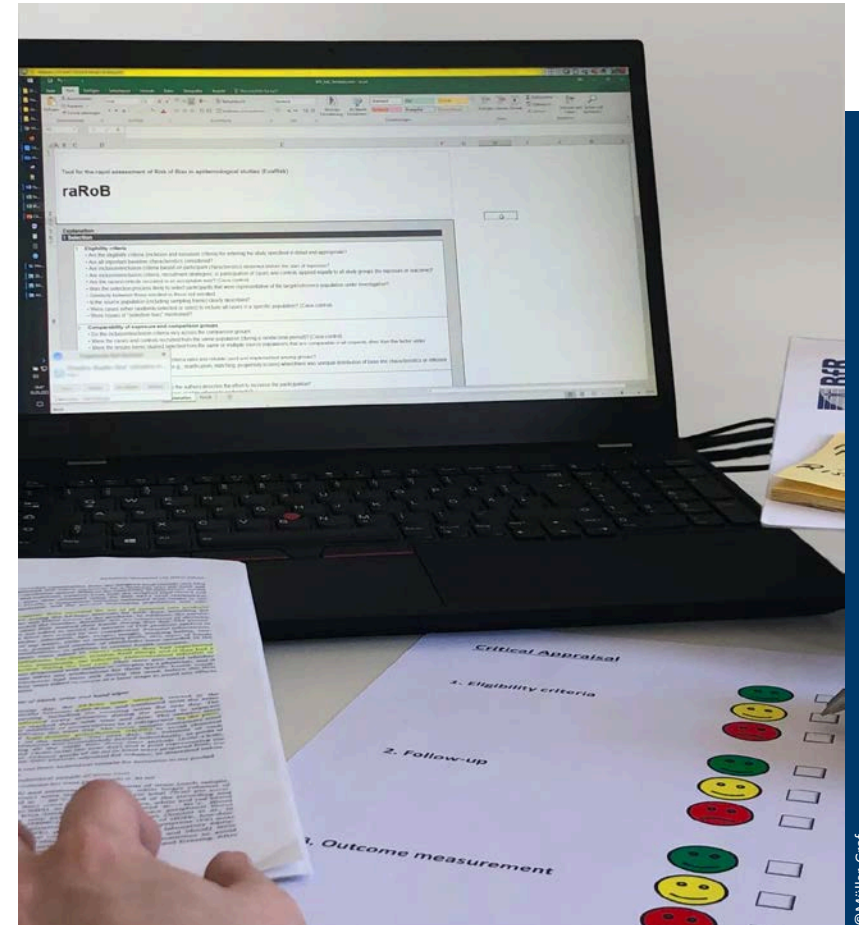
**Kristina Plate**

Department of Exposure

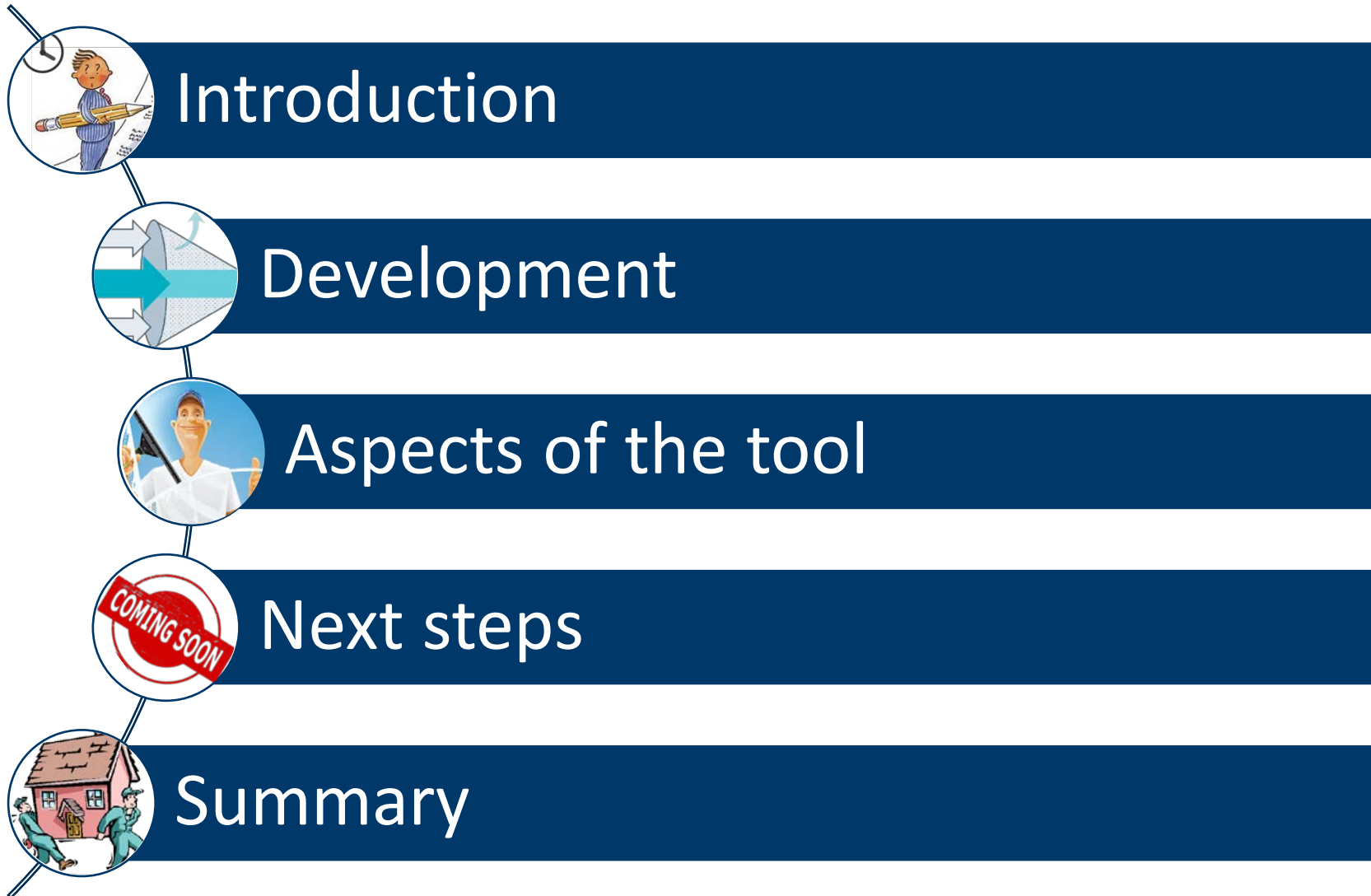
Unit for Epidemiology, Statistics and Exposure Modelling

German Federal Institute for Risk Assessment (BfR)

[Kristina.plate@bfr.bund.de](mailto:Kristina.plate@bfr.bund.de) 



# Outline

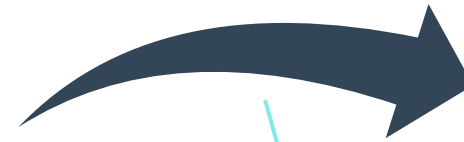


# Background

## Short-term requests



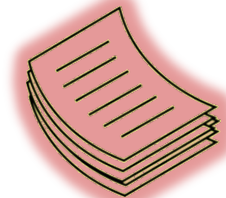
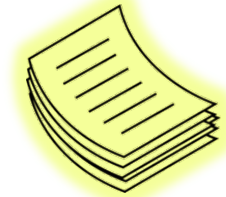
## Assessments of epidemiological study / publication



Risk of Bias assessment



Critical appraisal



# Aims of the development of raRoB

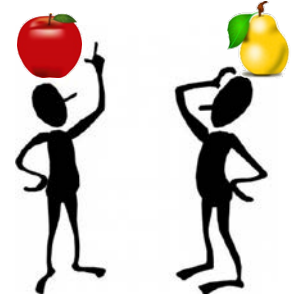


➤ **Completeness:** all relevant types of biases for main types of observational studies

➤ **Rapidity:** one concise tool for multiple study types



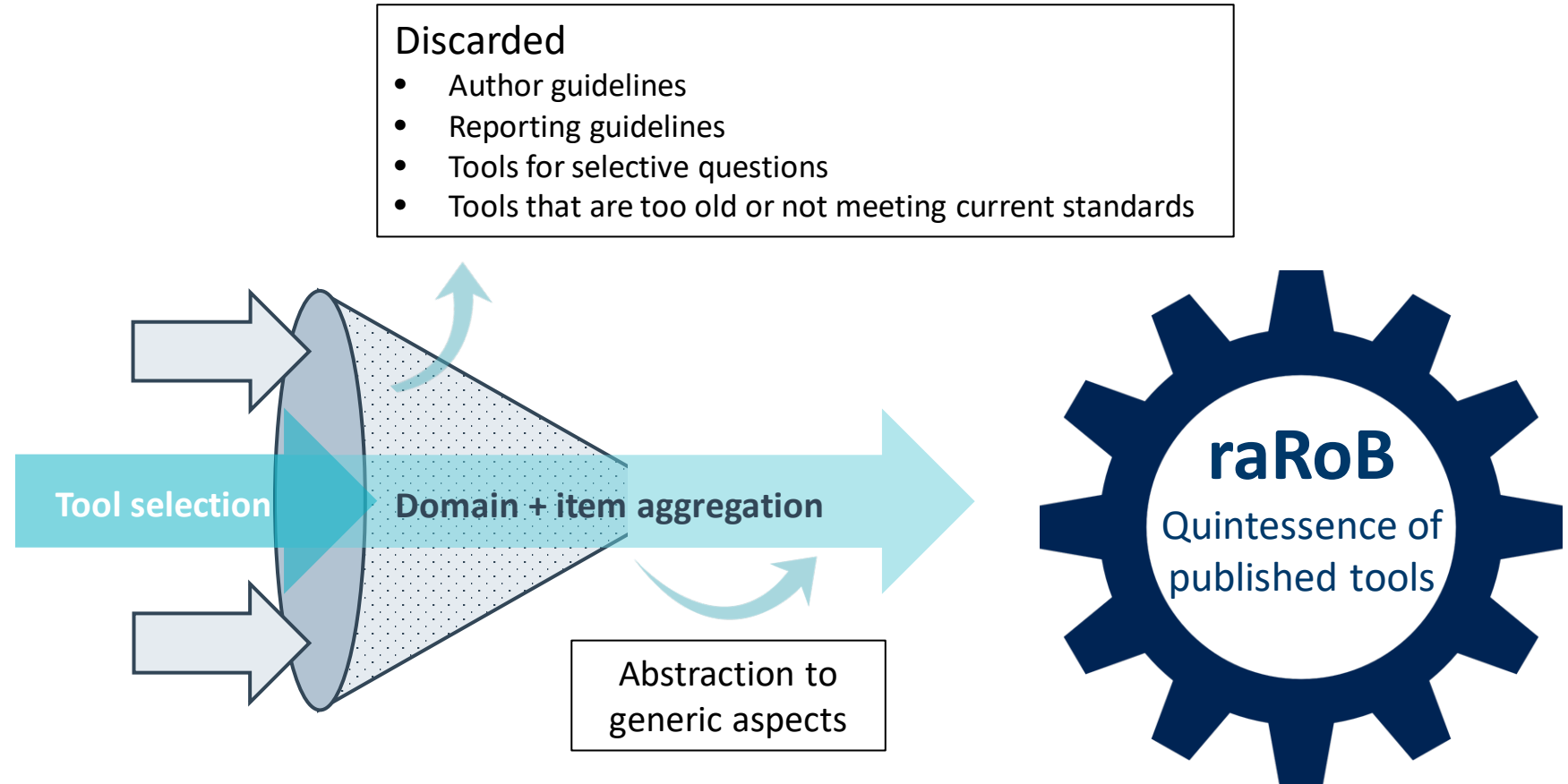
➤ **Usability:** applicable in interdisciplinary appraisal teams



➤ **Transparency:** clarity of the assessment

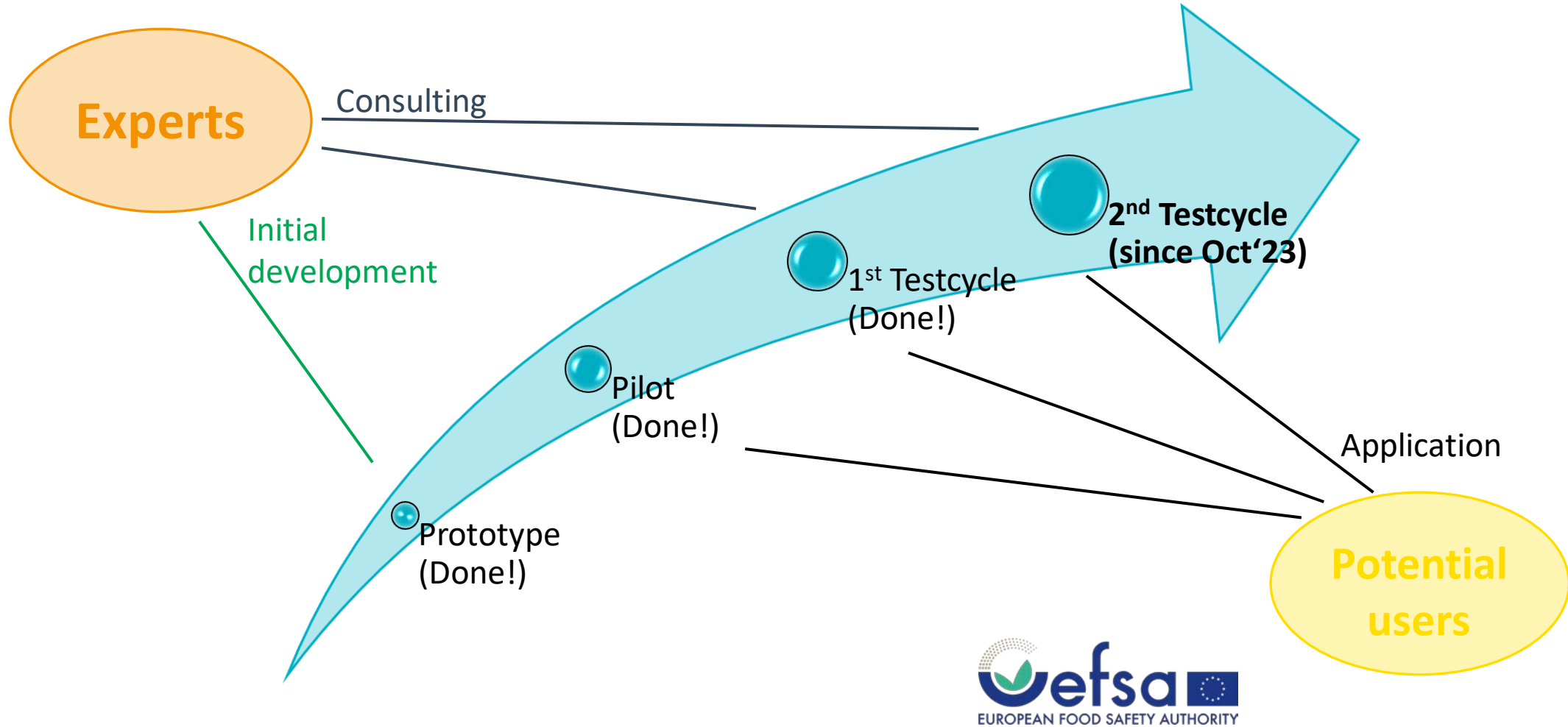
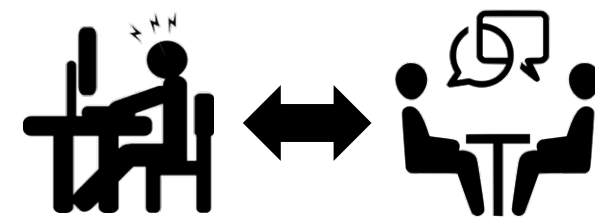


# Initial development



\*Based on Sanderson et al. (2007), Shamliyan et al. (2010), Wang et al. (2019) or known / used tools by working group members

# Development and testing



# Compilation of domains (7) and items (15) used by raRoB

## 1 Selection

- 1 Eligibility criteria
- 2 Comparability of exposures/case and comparison/control groups
- 3 Non-response rate
- 4 Recruitment time frame

## 2 Exposure

- 1 Accuracy of exposure measurements
- 2 Misclassification of exposure

## 3 Outcome Assessment

- 1 Accuracy of outcome measurements
- 2 Misclassification of outcome

## 4 Confounding

- 1 Accounting for confounding
- 2 Accuracy of cofounding variables measurement

## 5 Loss to follow-up

- 1 Adequacy of length of follow-up
- 2 Amount and handling of loss to follow-up

## 6 Analysis

- 1 Appropriate statistical methods
- 2 Handling of missing values

## 7 Selective reporting

- 1 Selective reporting of outcomes

# Rapidity + Usability

- Clear user-interface
- Adaption according to study design
- Signalling questions for each item
- Calculation of overall risk of bias







# Rapidity + Usability – Clear user-interface

## Single RoB items

high 

some concerns 

undecided/no judgement 

low 

no information 

 not applicable 

Selected

Project		Example
<b>Assessment</b>		
<b>1 Selection</b>		
1	Eligibility criteria	<input type="text" value="Please select"/>
2	Comparability of exposure/case and comparison/control groups	<input type="text" value="Please select"/>
3	Non-response rate	<input type="text" value="Please select"/>
4	Recruitment time frame	<input type="text" value="Please select"/>
<b>2 Exposure</b>		
1	Accuracy, validity and reliability of exposure measurements	<input type="text" value="Please select"/>
2	Misclassification of exposure	<input type="text" value="Please select"/>
<b>3 Outcome Assessment</b>		
1	Accuracy, validity and reliability of outcome measurements	<input type="text" value="Please select"/>
2	Misclassification of outcome	<input type="text" value="Please select"/>
<b>4 Confounding</b>		
1	Accounting for confounding	<input type="text" value="Please select"/>
2	Accuracy, validity and reliability of confounding variables meas.	<input type="text" value="Please select"/>
<b>5 Loss to follow-up</b>		
1	Adequacy of length of follow-up	<input type="text" value="Please select"/>
2	Amount and handling of loss to follow-up	<input type="text" value="Please select"/>
<b>6 Analysis</b>		
1	Statistical methods	<input type="text" value="Please select"/>
2	Handling of missing values	<input type="text" value="Please select"/>
<b>7 Selective reporting</b>		
1	Selective reporting of outcomes	<input type="text" value="Please select"/>

Project		Example
<b>Comments</b>		
<b>1 Selection</b>		
1		<input type="text"/>
2		<input type="text"/>
3		<input type="text"/>
4		<input type="text"/>
<b>2 Exposure</b>		
1		<input type="text"/>
2		<input type="text"/>
<b>3 Outcome Assessment</b>		
1		<input type="text"/>
2		<input type="text"/>
<b>4 Confounding</b>		
1		<input type="text"/>
2		<input type="text"/>
<b>5 Loss to follow-up</b>		
1		<input type="text"/>
2		<input type="text"/>
<b>6 Analysis</b>		
1		<input type="text"/>
2		<input type="text"/>
<b>7 Selective reporting</b>		
1		<input type="text"/>

# Rapidity + Usability – Adaption according study design

Item	Cohort study	Case-cohort study	Case-control study	Nested case-control study	Cross-sectional study	Case series
Eligibility criteria	+	+	+	+	+	+
Comparability of exposure/case and comparison/control groups	+	+	+	+	+	-
Non-response rate	+	+	-	+	+	-
Recruitment time frame	+	+	-	+	+	+
Accuracy, validity and reliability of exposure measurements	+	+	+	+	+	+
Misclassification of exposure	+	+	+	+	+	-
Accuracy, validity and reliability of outcome measurements	+	+	+	+	+	+
Misclassification of outcome	+	+	+	-	+	-
Accounting for confounding	+	+	+	+	+	+
Accuracy, validity and reliability of confounding variables meas.	+	+	+	+	+	+
Adequacy of length of follow-up	+	+	-	+	-	+
Amount and handling of loss to follow-up	+	+	-	+	-	+
Statistical methods	+	+	+	+	+	+
Handling of missing values	+	+	+	+	+	+
Selective reporting of outcomes	+	+	+	+	+	+



# Rapidity + Usability – Signalling questions for each item

Cohort

## 4 Confounding

- 1 Accounting for confounding
  - Have the authors taken account of the potential confounding factors in the design and/or in their analysis?
  - Were all important covariates and confounding variables taken into account in the design and/or analysis (restriction, stratification, interaction terms, multivariable analysis, propensity score matching, instrumental variables or other approaches)?
- 2 Accuracy, validity and reliability of confounding variables meas.
  - Are the distributions of the principal confounders in each group of subjects to be compared clearly described?
  - Were the information used to define confounder status independent of exposure and outcome assessment?

## 5 Loss to follow-up

- 1 Adequacy of length of follow-up
  - Was the length of follow-up reported?
  - Is the follow-up period adequate to allow for the development of the outcome of interest?
- 2 Amount and handling of loss to follow-up
  - Were withdrawals and drop-outs reported in terms of numbers and/or reasons per group?
  - Are the proportion of participants and reasons for missing data similar across exposures/outcomes?
  - Is the classification of exposed and unexposed person-time free of "immortal time bias"?
  - Was loss to follow-up taken into account in the analysis?



# Rapidity + Usability – Signalling questions for each item

Case-control

## 4 Confounding

### 1 Accounting for confounding

- Were all important covariates and confounding variables taken into account in the design and/or analysis (restriction, stratification, interaction terms, multivariable analysis, propensity score matching, instrumental variables or other approaches)?

• Is there a baseline equivalence of group, i.e. are the groups similar regarding the criteria other than the studied exposures/endpoints? If not, indicate the percentage of controlled relevant confounders (either in design (stratification, matching) or analysis)?

### 2 Accuracy, validity and reliability of confounding variables meas.

- Are the distributions of the principal confounders in each group of subjects to be compared clearly described?
- Were the information used to define confounder status independent of exposure and outcome assessment?

• Were potential confounding factors comparable for cases and controls? If not, were potential imbalances between cases and controls addressed through statistical measures?

## 5 Loss to follow-up

### 1 Adequacy of length of follow-up

- Not applicable for retrospective designs

### 2 Amount and handling of loss to follow-up

- Not applicable for retrospective designs

# Rapidity + Usability – Calculated overall risk of bias



Project		Example
Assessment results	Risk of Bias	
<b>1 Selection</b>		
1	Eligibility criteria	+
2	Comparability of exposure/case and comparison/control groups	+
3	Non-response rate	+/-
4	Recruitment time frame	+/-
<b>2 Exposure</b>		
1	Accuracy, validity and reliability of exposure measurements	-
2	Misclassification of exposure	+
<b>3 Outcome Assessment</b>		
1	Accuracy, validity and reliability of outcome measurements	+
2	Misclassification of outcome	+/-
<b>4 Confounding</b>		
1	Accounting for confounding	?
2	Accuracy, validity and reliability of confounding variables meas.	+/-
<b>5 Loss to follow-up</b>		
1	Adequacy of length of follow-up	+
2	Amount and handling of loss to follow-up	+/-
<b>6 Analysis</b>		
1	Statistical methods	+
2	Handling of missing values	+/-
<b>7 Selective reporting</b>		
1	Selective reporting of outcomes	+

**Overall Risk of Bias**

Calculation from individual items

Worst rating score	moderate
Ratio score	moderate

Assessor's judgement of overall bias

Assessor 1	high
Assessor 2	moderate

Comment on assessor's judgement of overall bias

The exposure measurement is a crucial part of the research question. If corresponding items are rated with a high risk of bias or without information, the publication is assessed as with a high risk of bias in this context.

Fictional example

# Rapidity + Usability – Calculated overall risk of bias

**Overall Risk of Bias**

**Calculation from individual items**

Worst rating score

Ratio score

**Assessor's judgement of overall bias**

Assessor 1

Assessor 2

Calculated

Overall risk of bias

Worst rating score

Ratio score

Scale:

not assessable



high



moderate



low



Assessor's judgement

Selected

# Transparency

Project <input type="text" value="Example"/>		Project <input type="text" value="Example"/>	
<b>Assessment</b>		<b>Risk of Bias</b>	
<b>1 Selection</b>			
1 Eligibility criteria	<input type="text" value="Low"/>	1	Appropriate: yes, as Type II diabetes is expected to occur later in life. Wanted to have representative population in the exposure group (or at risk group) for a given follow-up period (20yrs).
2 Comparability of exposure/case and comparison/control groups	<input type="text" value="Low"/>	2	Low, as same individuals are in the comparison groups and were aware of possible differences and accounted for them (confounding)
3 Non-response rate	<input type="text" value="Some concerns"/>	3	Response rate is reported. Reasons for non-response not reported.
4 Recruitment time frame	<input type="text" value="Low"/>	4	Relevant dates are clearly described.
<b>2 Exposure</b>		<b>2 Exposure</b>	
1 Accuracy, validity and reliability of exposure measurements	<input type="text" value="High"/>	1	The methods of measurement seemed adequate. We have only information on the selenium at the age of 50 years. However, no further information on selenium concentrations during the observation period of 20 years.
2 Misclassification of exposure	<input type="text" value="Low"/>	2	It is a prospective cohort study.

Fictional example



## Next steps

- Finalising test phase
- Adapt tool according to results and feedback
- Implementation as a web-based app
- Publication of the tool



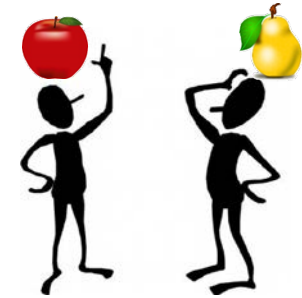


# Summary – Take-home messages about raRoB

➤ A tool for short-term and individual RoB assessments

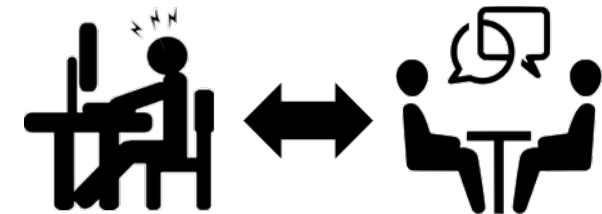


➤ Rapid usability for researchers in interdisciplinary appraisal teams



➤ Transparent assessments

➤ Systematically developed and tested



# Acknowledgments

## BfR Committee for Evidence-Based Methods in Risk Assessment

Kerstin Schmidt (BioMath)

Henning Thole  
(KBV, Berlin; SRH University of Applied Health Sciences)

## BfR

Sven Knüppel

Anselm Hornbacher

Narges Ghoreishi

Christine Müller-Graf

Matthias Greiner



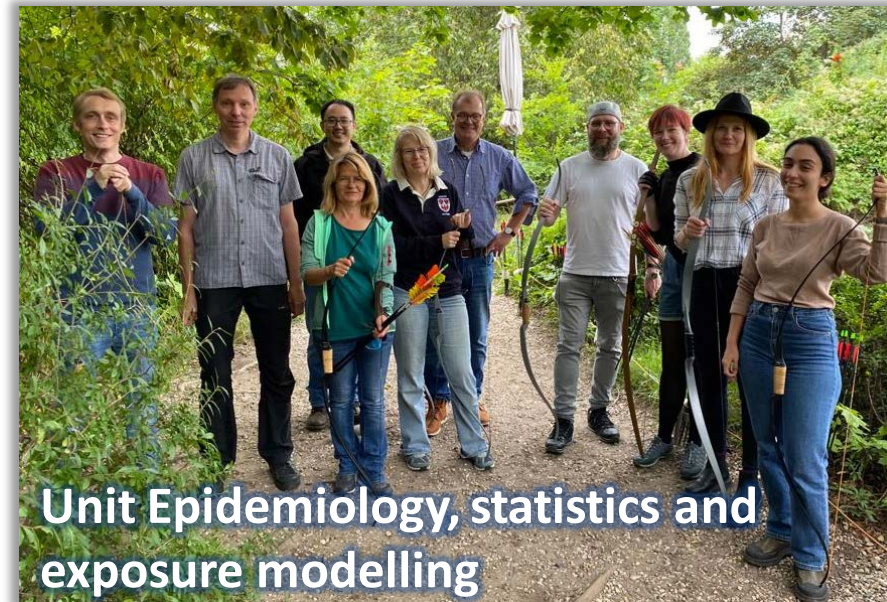
## EFSA

Laura Ciccolallo

Marios Georgiadis



Special thanks to Paul Schmidt (BioMath) for technical support and  
**all testers of the raRoB tool!**



Kristina Plate

[Kristina.Plate@bfr.bund.de](mailto:Kristina.Plate@bfr.bund.de)

German Federal Institute for Risk Assessment  
[bfr.bund.de/en](https://bfr.bund.de/en)

CC-BY-ND 4.0

**BfR** | Identifying Risks –  
Protecting Health

Consumer health protection to go

**BfR2GO – the BfR Science Magazine**


[bfr.bund.de/en/science\\_magazine\\_bfr2go.html](https://bfr.bund.de/en/science_magazine_bfr2go.html)


Follow us

 @bfrde | @bfren | @Bf3R\_centre

 @bfrde

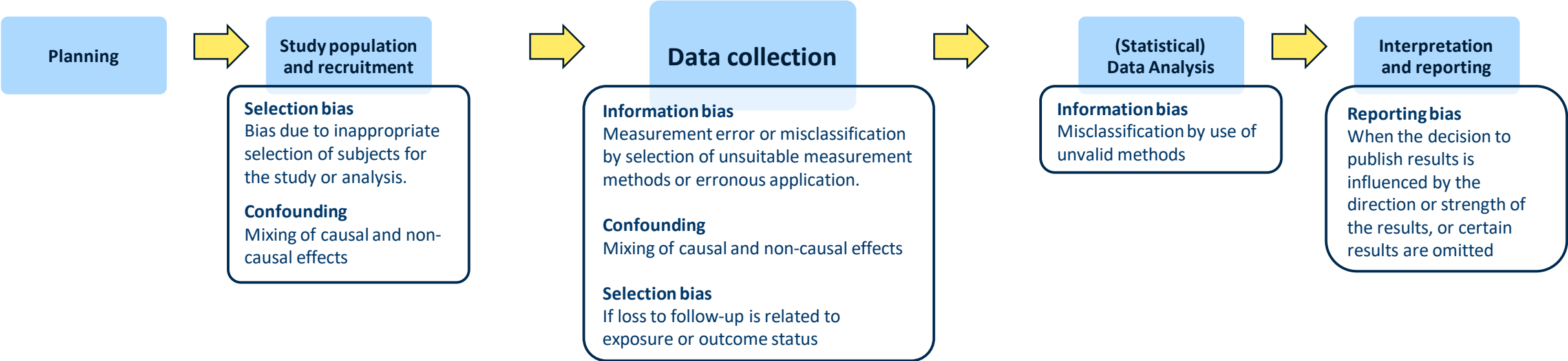
 [youtube.com/@bfr\\_bund](https://youtube.com/@bfr_bund)

 [social.bund.de/@bfr](https://social.bund.de/@bfr)

 [linkedin.com/company/bundesinstitut-f-r-risikobewertung](https://linkedin.com/company/bundesinstitut-f-r-risikobewertung)

 [soundcloud.com/risikobewertung](https://soundcloud.com/risikobewertung)

# Types of bias



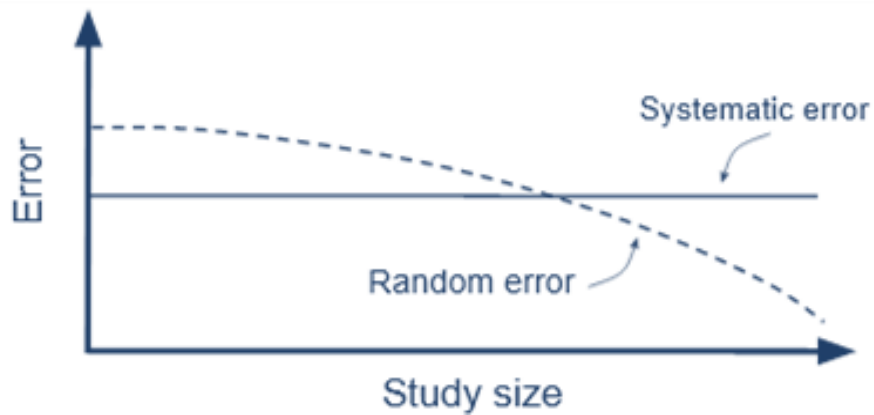
# Random error vs. systematic error (bias)

## Random error

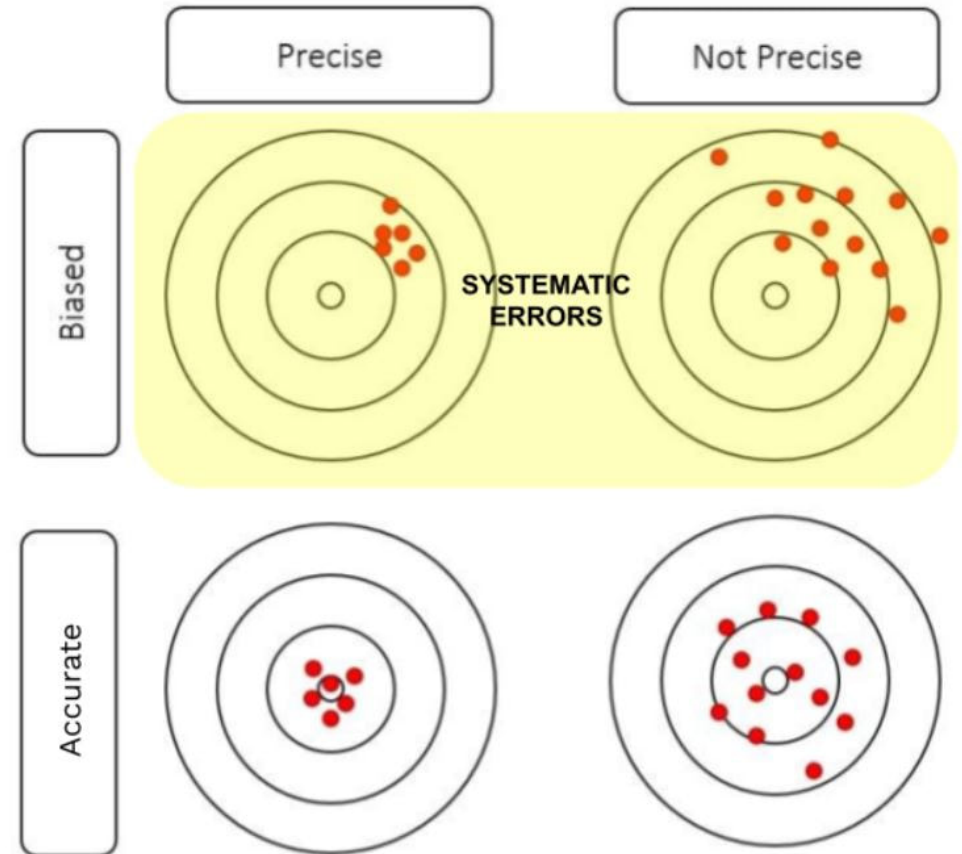
- Resulting from **sampling variability**

## Systematic error (bias)

- Resulting from errors in **design and conduct**



According to Rothman (2012). *Epidemiology: an introduction*.



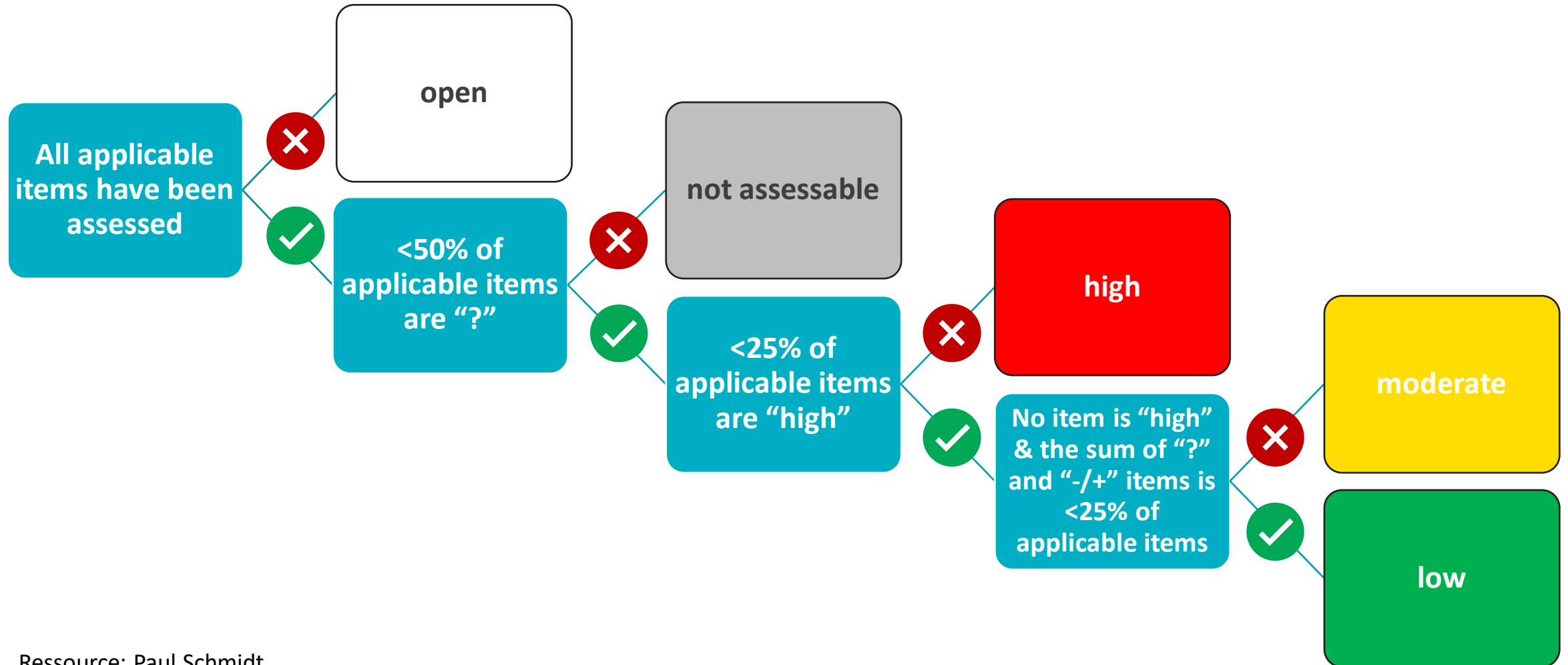
<https://www.biologyforlife.com/error-analysis.html>

# Comparison of domains of raRoB to other established RoB tools

raRoB	ROBINS-E	OHAT
1 Selection	Risk of bias in selection of participants into the study (or into the analysis)	Selection Bias
2 Exposure	Risk of bias arising from measurement of the exposure	Detection Bias
3 Outcome Assessment	Risk of bias arising from measurement of the outcome	Detection Bias
4 Confounding	Risk of bias due to confounding	Confounding Bias
5 Loss to follow-up	Risk of bias due to missing data	Attrition/Exclusion Bias
6 Analysis	Risk of bias due to missing data	Attrition/Exclusion Bias Other sources of Bias
7 Selective reporting	Risk of bias in selection of the reported result	Risk of bias due to missing data
Overall risk of bias	Overall risk of bias	
	Risk of bias due to post-exposure interventions	

# Assessment scheme

## Overall Risk of Bias – Worst rating score



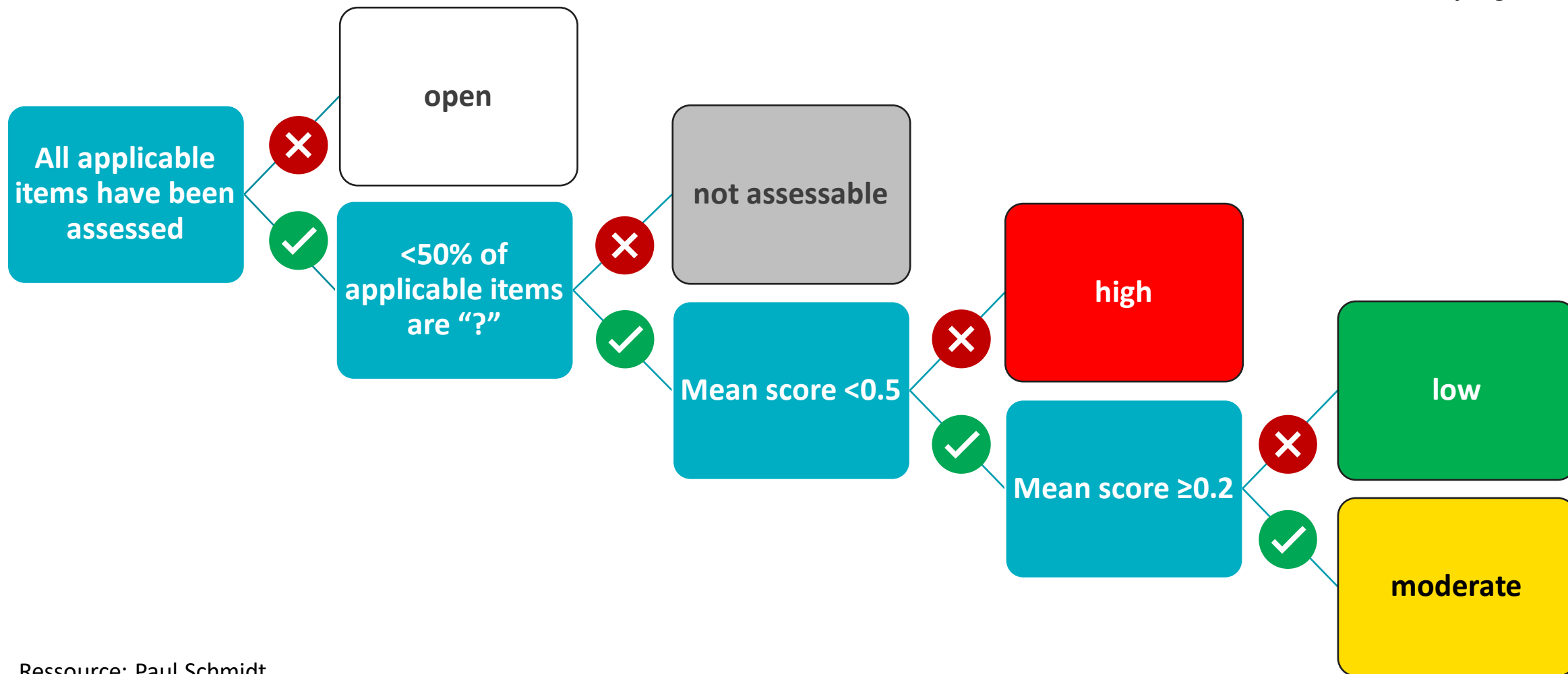
Ressource: Paul Schmidt

“?” – undecided/no judgement

# Assessment scheme

## Overall Risk of Bias – Ratio score

High/no information = 1  
Some concerns = ½  
Low/undecided/no judgement = 0



Ressource: Paul Schmidt